



# A Hierarchical Encoding-Decoding Scheme for Abstractive Multi-document Summarization

**Presented by:**  
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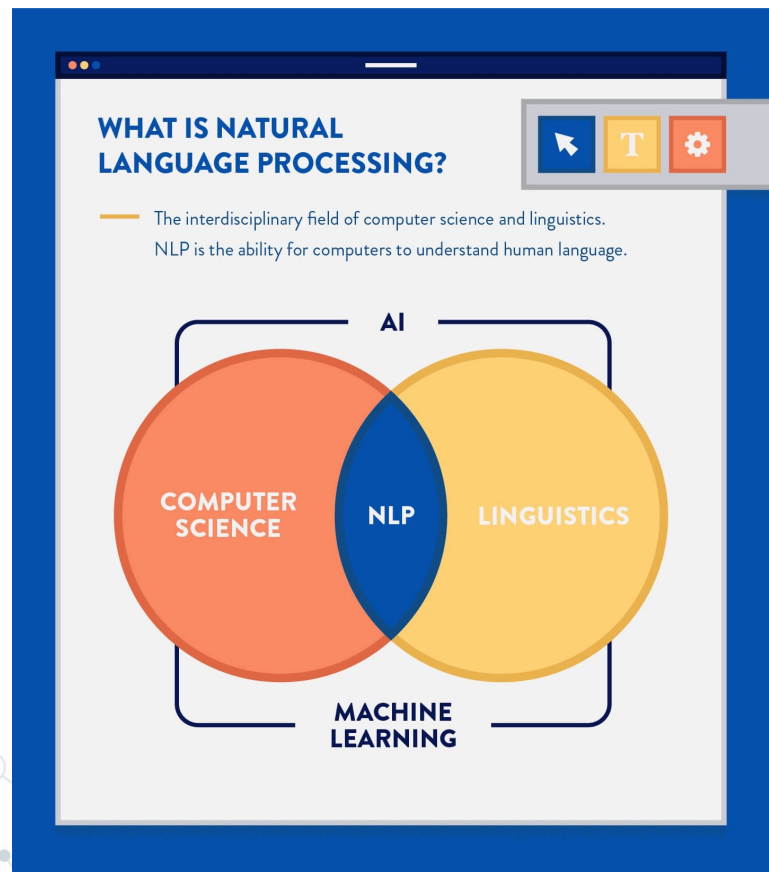
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A decorative background pattern consisting of a network graph. It features numerous nodes, represented by small circles of varying shades of gray and white, connected by thin, light gray lines. The nodes are distributed across the slide, with a higher concentration on the left side and a more sparse arrangement on the right. The overall effect is a subtle, technical, and interconnected visual theme.

# **APPLICATION DOMAIN: Natural Language Processing – Multi-document Summarization**

# Introduction to Natural Language Processing



**Speech  
recognition**

**Part of speech  
tagging**

**Word sense  
disambiguation**

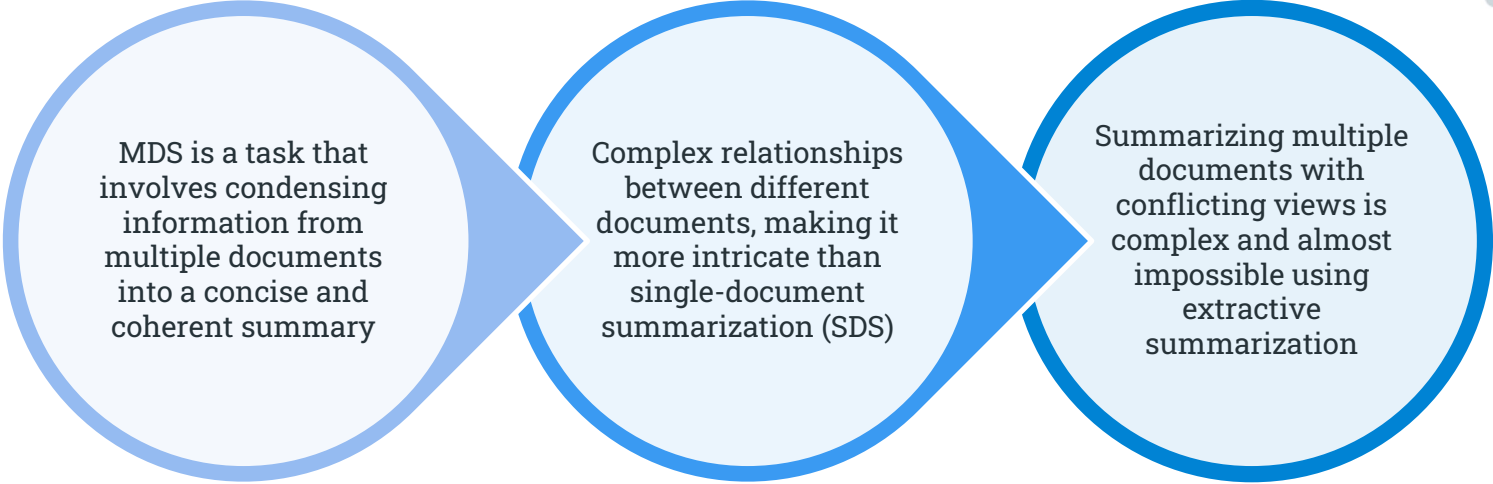
**Named entity  
recognition**

**Co-reference  
resolution**

**Sentiment  
analysis**

**Natural  
language  
generation**

# Introduction to Multi-document Summarization



MDS is a task that involves condensing information from multiple documents into a concise and coherent summary

Complex relationships between different documents, making it more intricate than single-document summarization (SDS)

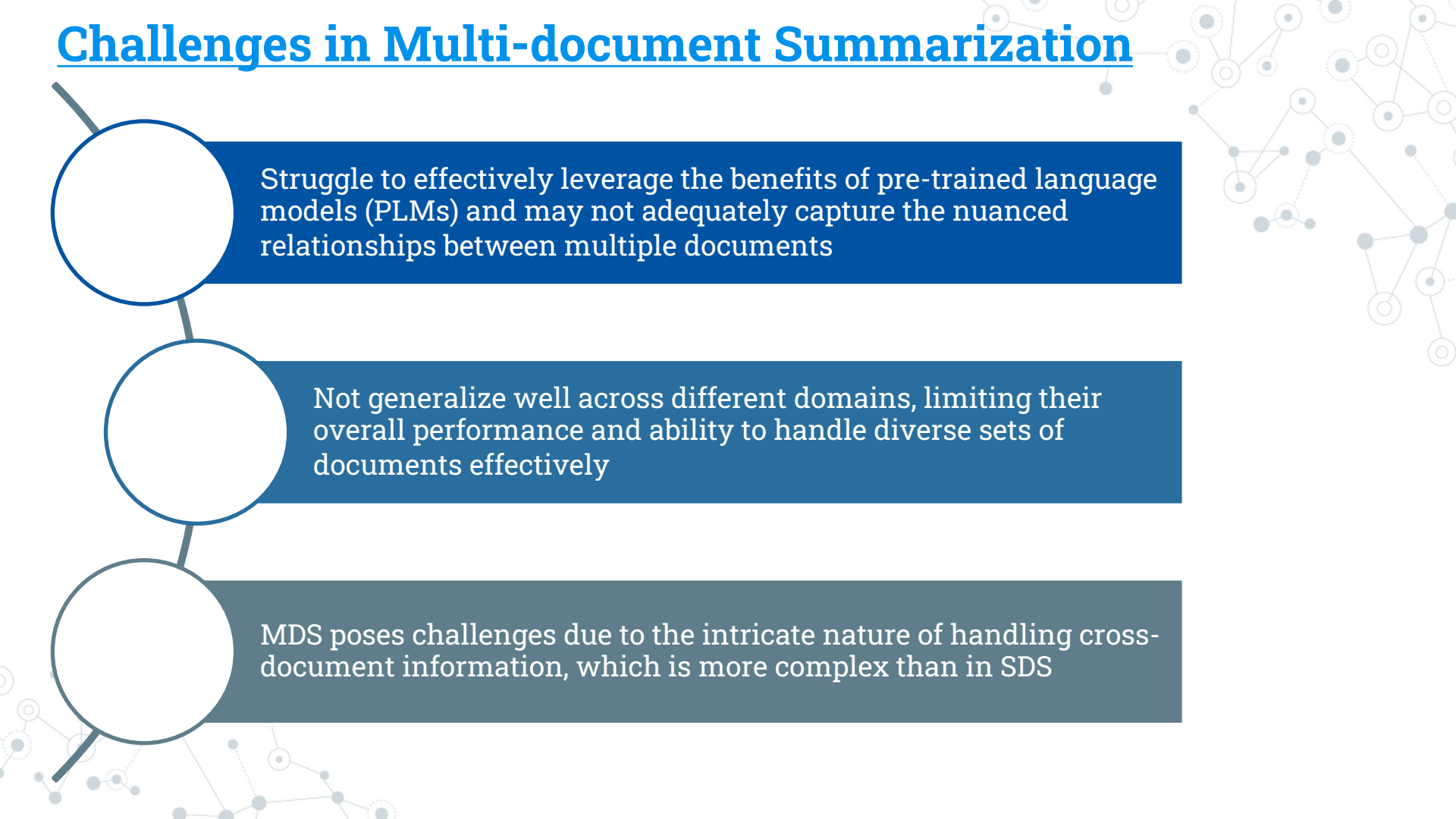
Summarizing multiple documents with conflicting views is complex and almost impossible using extractive summarization



# **PROBLEM STATEMENT:**

**Inefficiency of previous  
methodologies in  
leveraging the  
capabilities of PLMs to  
enhance multi-  
document interactions**

# Challenges in Multi-document Summarization



Struggle to effectively leverage the benefits of pre-trained language models (PLMs) and may not adequately capture the nuanced relationships between multiple documents

Not generalize well across different domains, limiting their overall performance and ability to handle diverse sets of documents effectively

MDS poses challenges due to the intricate nature of handling cross-document information, which is more complex than in SDS

# Bridging the Research Gap: Unique Contribution

Not leveraging the benefits of pre-trained language models (PLMs)

- By enforcing a hierarchical encoding-decoding scheme in both the encoder and decoder, the study aims to enhance the utilization of PLMs for MDS, which is a unique contribution in the field of text summarization

Inability to capture the nuanced relationships between multiple documents

- The hierarchical approach in both the encoder and decoder proposed in the paper allows for a more comprehensive understanding and utilization of cross-document relationships inherent in MDS

Apply PLMs bluntly with concatenated source documents as a reformulated SDS task

- Previous works either introduced specific MDS architectures or used PLMs directly for SDS tasks, without fully considering the complexities of MDS and leveraging the hierarchical structure for cross-document interactions that this study emphasizes

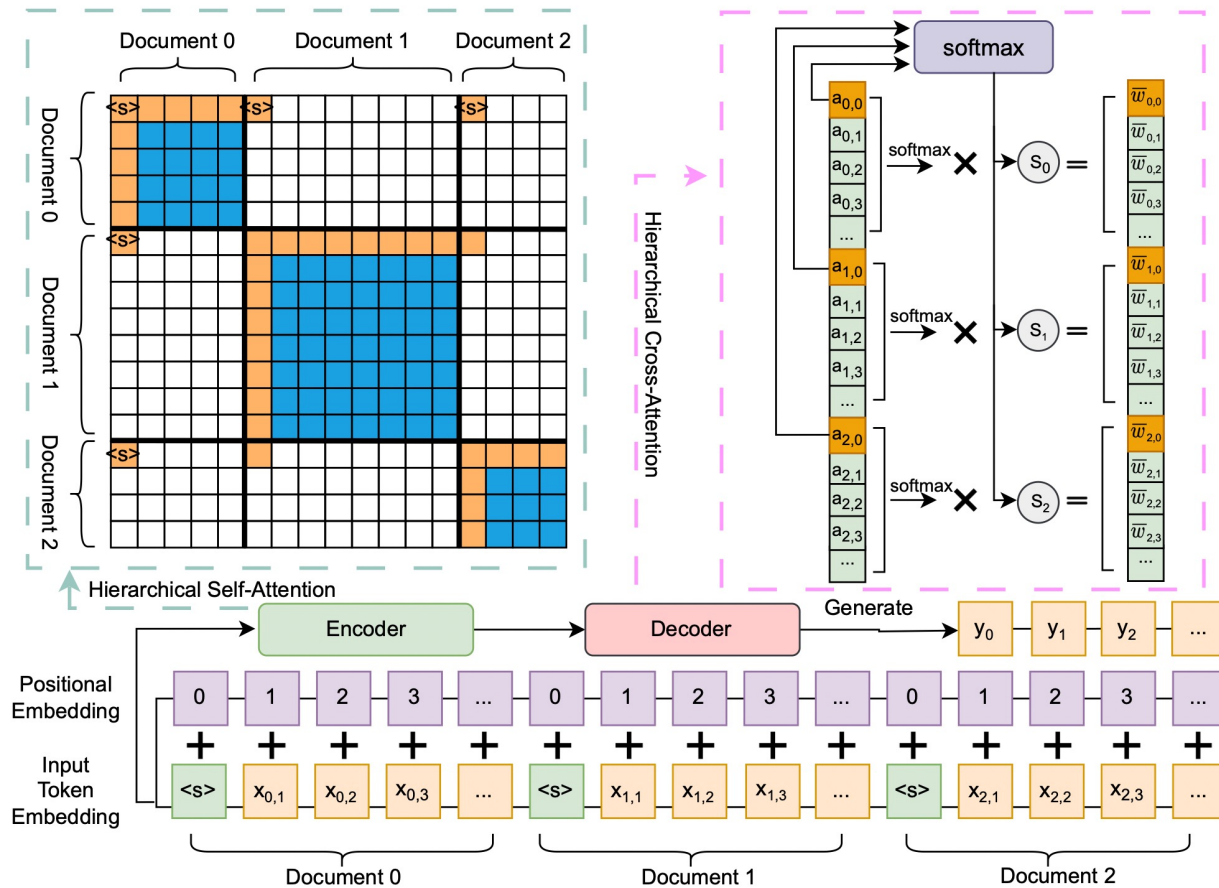
A decorative network diagram in the top-left corner, featuring a complex web of interconnected nodes and lines. The nodes are represented by small circles, some of which are larger and have concentric circles, suggesting a hierarchical or multi-layered structure. The lines are thin and gray, connecting the nodes in a non-linear fashion.

# Methodology

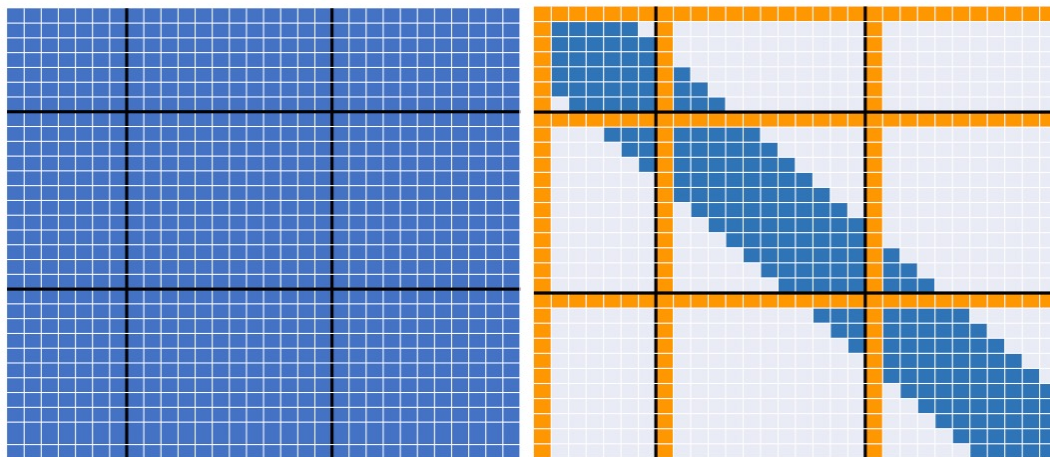
A decorative network diagram in the bottom-right corner, similar to the one in the top-left. It shows a cluster of nodes connected by lines, with some nodes being larger and having concentric circles, indicating a similar hierarchical or multi-layered structure. The lines are thin and gray.



# Proposed Approach



# Encoder Self-Attention Patterns in Different Attention Schemes



(a) Full attn

(b) Global + local attn window

## Dataset Statistics

Dataset	Instances	Docs	$Len_{src}$	$Len_{tgt}$	Train Steps
Multinews	56K	2.8	1793	217	130000
WCEP	10K	9.1	3866	28	15500
Multi-Xscience	40K	5.1	700	105	90000
Rotten Tomatoes	3K	100	2052	21	4500
MReD	6K	3.3	1478	120	10500
MReD+	6K	6.3	3069	120	10500
Film	37K	4.5	777	92	85000
MeanOfTransportation	10K	4.1	878	88	20000
Town	16K	4.7	582	52	37000
Software	15K	4.3	843	113	35000

A decorative network diagram in the top-left corner, featuring a complex web of interconnected nodes and lines. The nodes are represented by small circles, some of which are larger and have concentric circles, while others are smaller and solid. The lines are thin and gray, connecting the nodes in a non-linear fashion.

# **EXPERIMENTS AND RESULTS**

A decorative network diagram in the bottom-right corner, similar to the one in the top-left. It shows a cluster of nodes connected by lines, with some nodes being larger and having concentric circles, and others being smaller and solid. The lines are thin and gray.

# Experimental Setup



## **Data:**

Datasets used:  
Multiple datasets  
i.e. Multinews,  
WCEP, Rotten  
Tomatoes



## **Baselines:**

Fine-tuned Bart,  
LED, LongT5,  
PRIMERA,  
models.



## **Experimental Process:**

Fine-tuned all evaluated  
models with cross-  
entropy loss on all  
datasets. Used Adam  
optimizer with a learning  
rate of  $5e-5$ , and without  
any warm-up or weight  
decay.



## **Experimental Environment:**

on single A100-  
80G GPU.



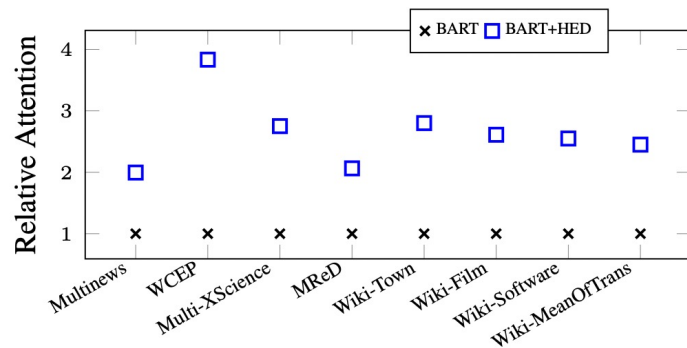
# Test Results

System	Size	Multinews	WCEP	M-XSc	RT	MReD	MReD+	MeanOT	Town	Software	Film
		R-1/R-L	R-1/R-L	R-1/R-L	R-1/R-L	R-1/R-L	R-1/R-L	R-1/R-L	R-1/R-L	R-1/R-L	R-1/R-L
LongT5	250M	46.4/24.5	43.4/35.3	27.0/15.0	26.0/20.5	32.0/20.1	<b>32.7/20.6</b>	<b>41.2/33.7</b>	60.2/56.7	<b>37.5/28.4</b>	42.4/35.5
<b>BART(base)+HED</b>	139M	<b>47.1/25.0</b>	<b>44.8/36.8</b>	<b>31.9/17.7</b>	<b>26.8/20.8</b>	<b>32.2/20.6</b>	<b>32.7/20.8</b>	40.6/33.7	<b>61.4/57.7</b>	<b>37.2/28.6</b>	<b>42.8/35.9</b>
LED	435M	50.1/25.0	46.5/37.6	31.2/16.6	27.3/20.7	33.0/19.1	34.3/20.3	45.4/35.1	62.3/ <b>58.3</b>	42.1/28.8	44.8/35.7
PRIMERA*	447M	49.9/25.9	46.1/ <b>37.9</b>	31.9/18.0	-	-	-	-	-	-	-
PRIMERA	447M	49.0/25.6	46.2/37.4	31.9/18.0	27.4/ <b>21.1</b>	29.6/17.0	29.2/16.5	44.1/35.6	62.1/58.3	39.0/28.4	44.4/ <b>36.9</b>
BART	406M	47.4/24.0	42.8/34.5	31.5/16.9	26.1/20.3	32.9/19.9	32.9/20.1	43.0/34.9	59.9/56.3	39.5/28.7	42.1/34.4
<b>BART+HED</b>	406M	50.0/25.8	46.4/37.8	32.1/17.6	27.3/ <b>21.1</b>	33.9/ <b>20.9</b>	34.0/ <b>20.7</b>	43.5/35.2	61.9/57.7	40.5/ <b>29.7</b>	43.8/36.3
<b>BART-cnn+HED</b>	406M	<b>51.1/25.9</b>	<b>47.0/37.6</b>	<b>34.7/18.6</b>	<b>27.6/20.5</b>	<b>34.1/20.5</b>	<b>34.5/20.6</b>	<b>46.1/35.4</b>	<b>62.8/58.3</b>	<b>42.9/29.7</b>	<b>45.9/36.6</b>

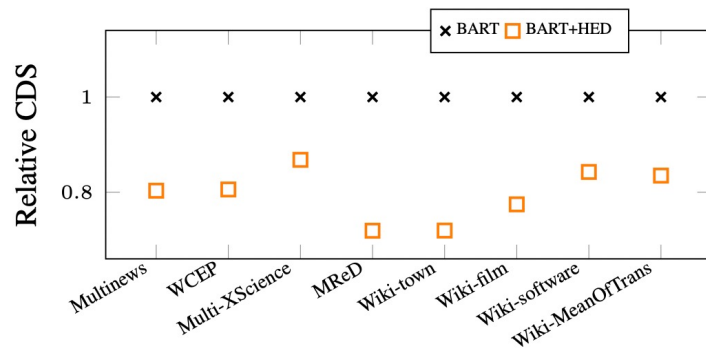
## Test Results (Human Evaluations)

model	Multinews					MReD				
	Flu	Rel	Abs	Sal	Cov	Flu	Rel	Abs	Sal	Cov
BART	<b>0.510</b>	0.430	0.475	0.500	0.480	0.440	0.480	0.370	0.355	0.350
BART+HED	0.490	<b>0.570*</b>	<b>0.525*</b>	0.500	<b>0.520</b>	<b>0.550*</b>	<b>0.520</b>	<b>0.630*</b>	<b>0.645*</b>	<b>0.650*</b>

# Document-level Attention Analysis



(a) Relative document self attention of “BART+HED” over “BART” in the **encoder**. For better visualization, we exclude the result for Rotten Tomatoes, which is 19.5.



(b) Relative cross-document standard deviation of “BART+HED” over “BART” in the **decoder**. We exclude the result for Rotten Tomatoes, which is statistically insignificant.



# Content Analysis

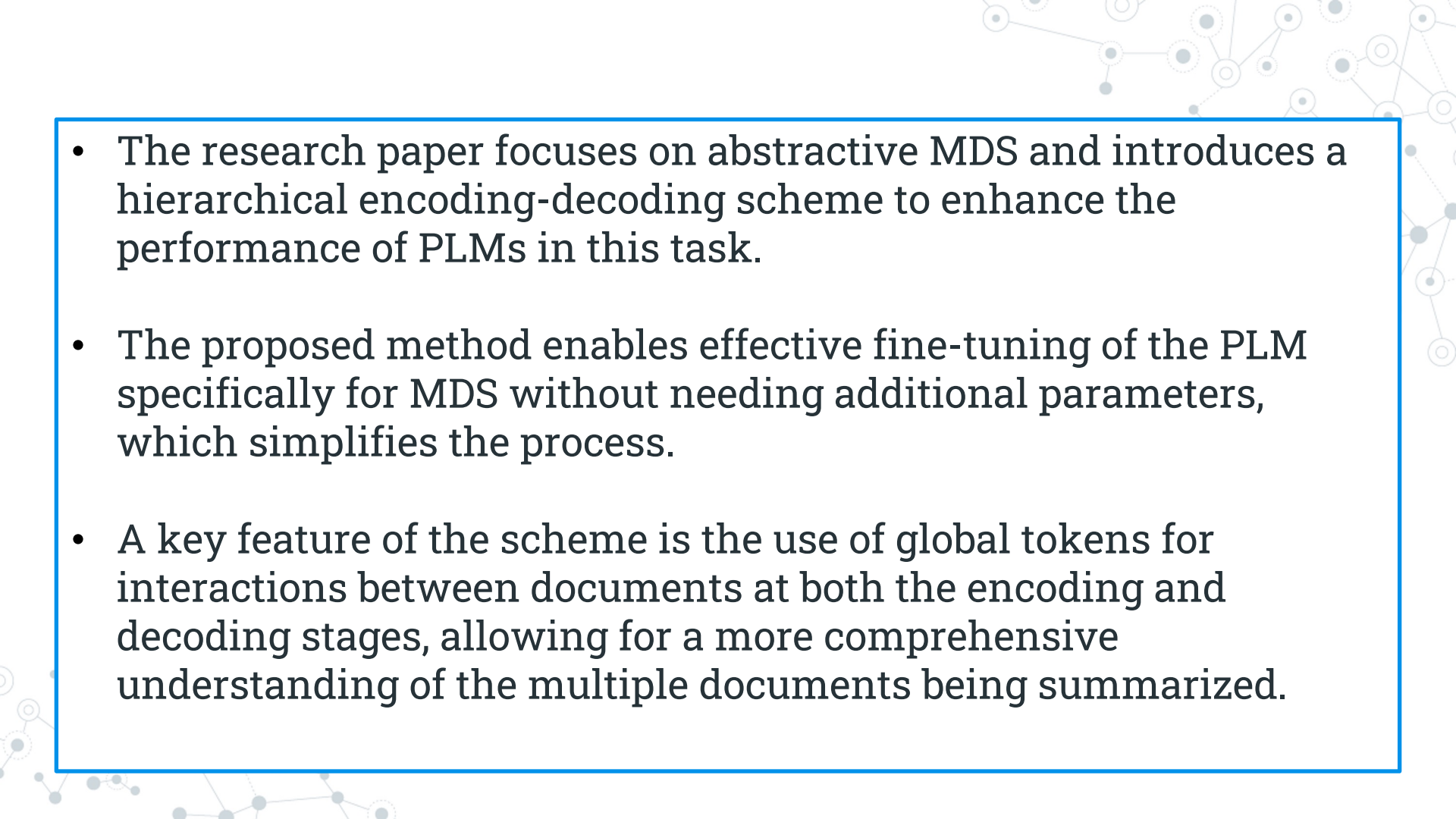
System	Multinews	WCEP	M-XSc	RT	MReD	MReD+	MeanOT	Town	Software	Film
BART	0.71	4.47	<b>0.65*</b>	1.19	0.82	0.84	0.24	<b>0.28</b>	0.24	0.36
BART+HED	<b>0.72</b>	<b>4.70*</b>	0.46	<b>1.32</b>	<b>0.83</b>	<b>1.07*</b>	<b>0.27*</b>	0.27	<b>0.27*</b>	<b>0.40*</b>

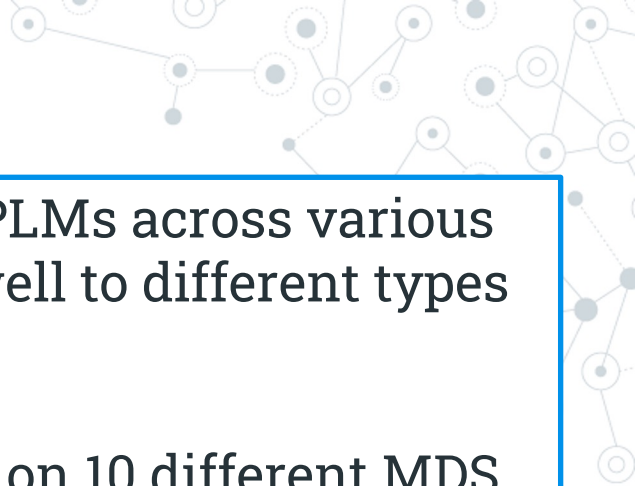

# Ablation Study

row	<s>	HAE	HAD	PR	$\Delta(R-1)$	$\Delta(R-2)$	$\Delta(R-L)$
0	×	×	×	×	-	-	-
1	✓	×	×	×	+0.6	+0.7	+0.8
2	✓	✓	×	×	+0.9	+0.8	+0.8
3	✓	✓	×	✓	+1.0	+0.8	+0.7
4	✓	✓	✓	×	+0.9	+1.0	+0.9
5	✓	✓	✓	✓	+1.5	+1.3	+1.3



# CONCLUSIONS

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- The research paper focuses on abstractive MDS and introduces a hierarchical encoding-decoding scheme to enhance the performance of PLMs in this task.
  - The proposed method enables effective fine-tuning of the PLM specifically for MDS without needing additional parameters, which simplifies the process.
  - A key feature of the scheme is the use of global tokens for interactions between documents at both the encoding and decoding stages, allowing for a more comprehensive understanding of the multiple documents being summarized.

- 
- By leveraging the generalizing capability of PLMs across various domains, the proposed approach can adapt well to different types of content and topics.
  - Evaluation results from testing the approach on 10 different MDS datasets consistently show that it outperforms previous state-of-the-art models and even surpasses the performance of the PLM backbone itself.
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A photograph of three parallel strings of clear, round light bulbs hanging against a bright blue sky with soft, white clouds. The bulbs are slightly out of focus, creating a dreamy atmosphere. The strings of lights run diagonally from the bottom left towards the top right.

**THANK YOU...**